

. PTO/SB/08A (10-01)

Approved for use through 10/31/2002, OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Substitute for	form 1449.	A/PTO	Complete If Known			
INIEO		N DIS	CLOSURE	Application No.	10/017,182		
	_	=	+	Filing Date	December 14, 2001		
STATEMENT BY APPLICANT			PLICANI	First Named Inventor	Timothy Tuttle et al.		
				Art Unit	<del>2151</del> - 2/55		
				Examiner Name	UNASSIGNED- BAROT		
Sheet	1	of	2	Attorney Docket Number	22726-05935		

RECEIVED

<u> </u>			U.S. PATE	NT DOCUMENTS	NOV O T COCO
		Document No.			NOV 2 7 2002
Examiner fnitials*	Cite No.1	Number – Kind Code <sup>2</sup> (if known)	Date . MM-DD-YYYY		Name of Patentee or Applicant of Cited Document Technology Center 2100
BB	A1	US-6029175	02-22-2000	Chow et al.	

		OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T⁰
13/3	C1	Franklin et al., "Dissemination-Based Information Systems," IEEE Data Engineering Bulletin, Vol. 19, No. 3, September 1996, 9 pages.	
BB	C2	Nagami et al., "Toshiba's Flow Attribute Notification Protocol (FANP) Specification," April 1997, RFC 2129, Internet RFC/STD/FYI/BCP Archives [online], [retrieved on 2002-05-16]. Retrieved from the Internet: < <del>URL: http://www</del> .landfield.com/rfcs/rfc2129.html>, 16 pages.	
133	С3	Strom et al., "Gryphon: An Information Flow Based Approach to Message Brokering," International Symposium on Software Reliability Engineering '98, 1998, 2 pages.	
BB	C4	Sturman et al., "Reflection in the Gryphon Message Brokering System," Reflection Workshop of the 13 <sup>th</sup> ACM Conference on Object Oriented Program Systems, Languages and Applications (OOPSLA '98), 1998, 5 pages.	
BB	C5	International DOI Foundation, "Introduction to the Digital Object Identifier," [online]. April 1998 [retrieved on 2002-05-16]. Retrieved from the Internet: <ul> <li>URL: http://www.doi.org/introduction.html:&gt;, 4 pages.</li> </ul>	
13/3	C6	Aksoy et al., "Research in Data Broadcast and Dissemination", Proc. 1st Int'l Conf. on Advanced Multimedia Content Processing, Osaka University, Osaka, Japan, November, 1998.	
BB	C7	Banavar et al., "An Efficient Multicast Protocol for Content-Based Publish-Subscribe Systems," Proc. of the 19th International Conference on Distributed Computing Systems, 1999, 9 pages.	
13/3	C8	Banavar et al., "Information Flow Based Event Distribution Middleware," Proceedings of the 1999 ICDCS Workshop on Electronic Commerce and Web-Based Applications, 1999, 8 pages.	

Examiner Signature Bhazat Bazat	Date Considered	04/29/2005
---------------------------------	--------------------	------------

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04, <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

HOY 2 5 2062

Sheet

	Substitute for fe	om 1440	A/PTA		Complete If Known	
				Application No.	Complete If Known 10/017,182	
			CLOSURE	Filing Date	December 14, 2001	
STATEMENT BY APPLICANT			PLICANI	First Named Inventor	Timothy Tuttle et al.	
		•		Art Unit	2151- 2135	
	•			Examiner Name	UNASSIGNED BAROT	
neet	2	of	2	Attorney Docket Number	22726-05935	

		OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>©</sup>	CC
BB	C9	Aguilera et al., "Matching Events in a Content-based Subscription System," Eighteenth ACM Symposium on Principles of Distributed Computing (PODC '99), Atlanta, GA, May 4-6, 1999, 9 pages.		Ado
BB	C10	Banavar et al., "A Case for Message Oriented Middleware," Distributed Computing, 13 <sup>th</sup> International Symposium, Bratislava, Slavak Republic, September 27-29, 1999, 18 pages.		
13/3	C11	Aguilera et al., "Efficient Atomic Broadcast Using Deterministic Merge," Symposium on Principles of Distributed Computing, 2000, 10 pages.		
BB	C12	(Aliddlewess 2000) And 2000 00 mass	CEIV	
13/3	C13	Capital O'Storia Etd., White Faper Real Fille Text FlowCol (NTF), Velsion 1.1, September	272	
13/3	C14	Reuters, "Reuters Market Data Systems and the Trading Solutions Architecture," Version The January 12, 2001, 51 pages.	gy cen	ier 2100
13/3	C15	Ramamrithan et al., "Dissemination of Dynamic Data on the Internet," [online]. Powerpoint Presentation, Spring 2001, [retrieved on 2002-02-06], 5 pages. Retrieved from the Internet < <del>URL: http://www</del> .cs.umbc.edu/courses/graduate/CMSC691T/spring 2001/rlist/amit.ppt>.		
138	C16	ComputerLetter, Vol. 17, No. 23, July 16, 2001, pp. 1-8.		
13/15	C17	ComputerLetter, Vol. 17, No. 31, September 24, 2001, pp. 1-6.		
13/3	C18	ComputerLetter, Vol. 17, No. 35, November 5, 2001, pp. 1-6.		
		·		

Examiner Signature Bhusut Barst	Date Considered	24/29/2005
---------------------------------	--------------------	------------

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.